

§ 7: Symbol spaces and oscillatory integrals mit konst. Koeff.

Motivation:  $D: C_0^\infty(Y) \rightarrow C_0^\infty(X)$  differential operator  
 $\mathcal{D}(Y) \quad \mathcal{D}(X) \subset \mathcal{D}'(X)$

$$\langle Du, v \rangle = \left\langle \int_{\mathbb{R}^d} e^{i\langle \cdot, \xi \rangle} \underset{\mathcal{D}}{\sigma_D}(\cdot, \xi) \hat{u}(\xi) d\xi, v \right\rangle$$

$$= \int_{\mathbb{R}^d} \left[ \int_{\mathbb{R}^d} e^{i\langle x, \xi \rangle} \underset{\mathcal{D}}{\sigma_D}(\cdot, \xi) \hat{u}(\xi) d\xi \right] \cdot v(x) dx$$

= (writing out Fourier-integral for  $\hat{u}$ )

$$\int_{\mathbb{R}^d} \left( \int_{\mathbb{R}^d} \underset{\mathcal{D}}{\sigma_D}(\cdot, \xi) \left( \int_{\mathbb{R}^d} e^{i\langle x-y, \xi \rangle} u(y) dy \right) d\xi \right) v(x) dx$$

$$= \int_{\mathbb{R}^d \times \mathbb{R}^d} \underbrace{\left[ \int_{\mathbb{R}^d} e^{i\langle x-y, \xi \rangle} \underset{\mathcal{D}}{\sigma_D}(x, \xi) d\xi \right]}_{= k_D(x, y) \text{ Schwartz-kernel for } D} (v \otimes u)(x, y) dx dy$$

Problems:

Integral for  $k_D(x, y)$  does not converge

$\Rightarrow$  We now make such integrals rigorous ("osill. integrals")  
 and use it to understand Schwartz-kernels of diff.  
 and pseudo-diff. operators.



Definition 7.1 (Symbol functions of Hörmander type (1,0))

$\Omega \subset \mathbb{R}^n$  open,  $N \in \mathbb{Z}_+$  (often  $N = n, n/2$ );  $m \in \mathbb{R}$  (or  $\mathbb{C}$ )

$$S^m(\Omega \times \mathbb{R}^N) := \{ a \in C^\infty(\Omega \times \mathbb{R}^N) \mid$$

$$\forall K \subset \Omega \text{ cpt } \forall \alpha, \beta \exists C = C(\alpha, \beta, K) \forall (x, \xi) \in K \times \mathbb{R}^N:$$

$$(**) \quad \left. \left| \partial_x^\alpha \partial_\xi^\beta a(x, \xi) \right| \leq C \cdot (1 + \|\xi\|)^{m - |\beta|} \right\}$$

Definition 7.2 (Classical symbols)

$$CS^m(\Omega \times \mathbb{R}^N) := \{ a \in S^m(\Omega \times \mathbb{R}^N) \mid$$

• for each  $j \in \mathbb{N}_0$  there exists  $a_{m-j} \in C^\infty(\Omega \times \mathbb{R}^N)$   
such that  $a_{m-j}(x, \lambda \xi) = \lambda^{m-j} a_{m-j}(x, \xi)$   
for  $|\lambda| \geq 1, \|\xi\| \geq 1$ .

•  $\forall M \in \mathbb{N} : \left( a - \sum_{j=0}^M a_{m-j} \right) \in S^{m-M-1}(\Omega \times \mathbb{R}^N) \left. \vphantom{\sum} \right\}$   
 $\text{ord}(a_m - a_{m-1}) = m-2$

We write in short:

$$a \sim \sum_{j \geq 0} a_{m-j}$$

"asymptotic expansion of classical symbols"

Remarks 7.3

(a) The best constants  $C(\alpha, \beta, K)$  [ $a \in S^m$ ] in (\*\*)  
are seminorms on  $S^m$  w.r.t which  $S^m$  becomes a Fréchet space.

(b)  $m \leq m' \Rightarrow S^m \subseteq S^{m'}$

(ii) BUT:  $CS^m \not\subseteq CS^{m'}$  i.A. The inclusion holds  
for classical symbols only if  $(m' - m) \in \mathbb{Z}_+$ .



$$(c) \quad C S^{-\infty} = S^{-\infty} := \bigcap_{m \in \mathbb{R} \text{ (or } \mathbb{Z})} S^m$$

Note that a countable intersection of Frechet-spaces is again naturally a Frechet-space.

$$(d) \quad \text{Let } p(x, \xi) = \sum_{|\alpha| \leq k} a_\alpha(x) \xi^\alpha \text{ with } a_\alpha \in C^\infty(\Omega)$$

(be a symbol of a differential operator  $\sum_{|\alpha| \leq k} a_\alpha(x) D^\alpha$ )

Then  $p \in C S^k(\Omega \times \mathbb{R}^n)$  (CHECK (\*\*))

(e) Let  $a \in C^\infty(\Omega \times \mathbb{R}^n)$  be positively homogeneous ie  $a(x, \lambda \xi) = \lambda^m a(x, \xi)$ ,  $\lambda \geq 1, |\xi| \geq 1$   
 Then  $a \in C S^m(\Omega \times \mathbb{R}^n)$  [ie  $(a_{m-j}) \in C S^{m-j}$  in the Def 7.2].

(f) Previous definitions may be extended to cones  $\Gamma \subset \mathbb{R}^n$  instead of  $\mathbb{R}^n$  (this will be important later)

### Topology of symbol spaces

Theorem 7.4  $\Omega \subset \mathbb{R}^n$  open,  $m < m'$

On bounded subsets of  $S^m(\Omega \times \mathbb{R}^n)$  the topology of  $S^{m'}$  is the topology of pointwise convergence, ie

$(a_j) \subset S^m$  bded sequence that conv. to a ptwise  
 Then  $a \in S^m$  (in particular smooth) and  $a_j \xrightarrow{S^{m'}} a$ .



Sketch of the proof: (detailed proof — exercise)

let  $K \subset \Omega$  be cpt. Since  $(a_j)$  is bdd in  $S^m$ :

$$(*) \quad \forall \alpha, \beta: |\partial_x^\alpha \partial_\xi^\beta a_j(x, \xi)| \leq C(\alpha, \beta, K) (1 + |\xi|)^{m - |\beta|}$$

ie all derivatives are uniformly bdd on cpt subsets of  $\Omega \times \mathbb{R}^N$ .

Arzela-Ascoli  $\Rightarrow \exists (a_{ij}), a_j \xrightarrow{j \rightarrow \infty} a \in C^\infty(\Omega \times \mathbb{R}^N)$  in  $C^\infty$   
 $a$  is also the pointwise limit of  $(a_j)$ . In particular  $(*)$   
also holds for  $a$  and hence  $a \in S^m(\Omega \times \mathbb{R}^N)$ .

Remains to prove  $a_j \xrightarrow{S^{m'}} a$ .  $\textcircled{ii}$

□

Theorem 7.5 let  $m < m'$ . In the topology of  $S^{m'}$ ,  
the space  $S^{-\infty}$  is dense in  $S^m$ .

Sketch of the proof: (detailed proof — exercise)

let  $a \in S^m(\Omega \times \mathbb{R}^N)$ . We construct an approx. sequence:

$$\rho \in C_c^\infty(\mathbb{R}^N) \text{ with } \rho(\xi) \equiv 1, |\xi| \leq 1$$
$$\rho(\xi) \equiv 0, |\xi| \geq 2$$

$$\text{Put } a_j(x, \xi) = \rho\left(\frac{\xi}{j}\right) \cdot a(x, \xi)$$

Clearly,  $a_j \in S^{-\infty}$  since  $a_j(x, \xi) = 0$  if  $|\xi| \geq 2j$ .

Remains to prove  $a_j \xrightarrow{S^{m'}} a$ .  $\textcircled{ii}$

□

Proposition 7.6 (Asymptotic summation lemma)

Let  $m_1 > m_2 > \dots$  be real numbers,  $\lim_{j \rightarrow \infty} m_j = -\infty$   
and  $a_j \in S^{m_j}$ . Then there exists  $a \in S^{m_1}$  st

$$a \sim \sum_{j=1}^{\infty} a_j$$

ie  $\forall N : a - \sum_{j=1}^N a_j \in S^{m_{N+1}}$

Such  $a$  is unique modulo  $S^{-\infty}$ .

Proof: Fix semi-norms  $p_{j,0} \leq p_{j,1} \leq \dots$   
that generate Frechet-topology on  $S^{m_j}$

By Thm 7.5 we may choose  $b_j \in S^{-\infty}$  st

$$p_{\mu,\nu}(a_j - b_j) \leq 2^{-j} \text{ for } 0 \leq \mu, \nu \leq j-1$$

[note that  $S^{m_{j-1}} \supseteq S^{m_j}$  as  $m_j \rightarrow -\infty$ ]

$\Rightarrow \sum_{j=k}^{\infty} (a_j - b_j)$  converges in  $S^{m_k}$ , since

$$\text{for } r, s \geq k+1 : \sum_{j=r}^s p_{k,\nu}(a_j - b_j) \leq \sum_{j=r}^{\infty} 2^{-j} = 2^{-r+1}$$

Define:

$$a := \sum_{j=1}^{\infty} (a_j - b_j) \in S^{m_1}$$

$$\text{Then } a - \sum_{j=1}^N a_j = \underbrace{-\sum_{j=1}^N b_j}_{\in S^{-\infty}} + \underbrace{\sum_{j=N+1}^{\infty} (a_j - b_j)}_{\in S^{m_{N+1}}}$$

This proves existence.

Uniqueness up to  $S^{-\infty}$ : if  $a - b \in S^{m_j}$  for any  $j \Rightarrow a - b \in S^{-\infty}$ .



## Oscillatory integrals

Definition 7.7  $\varphi \in C^\infty(\Omega \times \dot{\mathbb{R}}^N)$   $\{ \dot{\mathbb{R}}^N = \mathbb{R}^N \setminus \{0\} \}$   
is called a phase function, if

- $\text{Im } \varphi \geq 0$  ( $\varphi$  is  $\mathbb{C}$ -valued)
- $\varphi(x, \lambda \xi) = \lambda \varphi(x, \xi)$  for  $\lambda > 0, \xi \in \dot{\mathbb{R}}^N$
- $d\varphi(x, \xi) \neq 0$  for  $(x, \xi) \in \Omega \times \dot{\mathbb{R}}^N$

Examples:

- $\Omega = \mathbb{R}^n, N=n, \varphi(x, \xi) = \langle x, \xi \rangle$
- $\Omega = \mathbb{R}^n \times \mathbb{R}^n, N=n; \varphi(x, y, \xi) = \langle x-y, \xi \rangle$

Consider a phase fn  $\varphi$  and  $a \in S^m(\Omega \times \mathbb{R}^N)$  with  $m < -N$

oscillatory  
integral

$$I(a, \varphi)(x) := \int_{\Omega} \int_{\mathbb{R}^N} e^{i\varphi(x, \xi)} a(x, \xi) d\xi$$

$I(a, \varphi) \in C^\infty(\Omega)$  since for  $K \subset \Omega$  cpt  
and  $(x, \xi) \in K \times \mathbb{R}^N$  we have

$$|\partial_x^\alpha a(x, \xi)| \leq C(K, \alpha) (1 + |\xi|)^m \quad \left( \begin{array}{l} m < -N \\ \downarrow \\ \in L^1(\mathbb{R}^N) \end{array} \right)$$

$\Rightarrow$  integral above exists and can be differentiated  
under the integral. (note:  $\partial_x^\alpha \varphi(x, \xi) = |\xi| \partial_x^\alpha \varphi(x, \frac{\xi}{|\xi|})$ )

As a distribution  $I(a, \varphi) \in \mathcal{D}'(\Omega)$ :

$$\langle I(a, \varphi), u \rangle = \int_{\mathbb{R}^N} \int_{\Omega} e^{i\varphi(x, \xi)} a(x, \xi) u(x) dx d\xi, u \in \mathcal{D}(\Omega)$$

Next week: We relax the requirement  $m < -N$



(recap from previous week)

## Smoothness of oscillatory integrals

$$I(a, \varphi)(x) := \int_{\mathbb{R}^N} e^{i\varphi(x, \xi)} a(x, \xi) d\xi, \quad x \in \Omega$$

where  $\varphi$  is a phase function ie

$$- \text{Im} \varphi \geq 0$$

$$- \varphi(x, \lambda \xi) = \lambda \varphi(x, \xi) \text{ for } \lambda > 0, \xi \in \mathbb{R}^N$$

$$- d\varphi(x, \xi) \neq 0 \text{ for } (x, \xi) \in \Omega \times \mathbb{R}^N$$

$a$  is a symbol of order  $m < -N$ , ie

$$- \text{for } K \subset \Omega \text{ cpt and } (x, \xi) \in K \times \mathbb{R}^N$$

$$|\partial_x^\alpha a(x, \xi)| \leq C(K, \alpha) (1 + |\xi|)^m$$

Observe:  $e^{i\varphi(x, \xi)} = e^{i \text{Re} \varphi} \cdot e^{-\text{Im} \varphi} \in \mathcal{S}^{N-1}$

$$= e^{i \text{Re} \varphi} \cdot e^{-|\xi| \cdot \text{Im} \varphi(x, \xi/|\xi|)}$$

$$\Rightarrow |\partial_x^\alpha e^{i\varphi(x, \xi)}| \leq \text{Const} \cdot |\xi|^{|\alpha|}$$

Hence differentiating under the integral makes  $|\xi| \rightarrow \infty$  behaviour worse. Hence:

FACT: For  $a \in S^m(\Omega \times \mathbb{R}^N)$  with  $m < -N$

$$I(a, \varphi) \in C^{-N-m}(\Omega)$$

ie the order of differentiability depends on the order of the symbol.

In particular: for  $a \in S^{-\infty}(\Omega \times \mathbb{R}^N)$ ,  $I(a, \varphi) \in C^\infty(\Omega)$

and will later correspond to smoothing operators.



Extension of oscillatory integrals to symbols of arbitrary order:

Theorem 7.8 Let  $\varphi \in C^\infty(\Omega \times \mathbb{R}^N)$  be a phase function.

Then  $a \mapsto \mathcal{I}(a, \varphi)$  has a unique cts extension

$$S^{+\infty}(\Omega \times \mathbb{R}^N) := \bigcup_{m \in \mathbb{R}} S^m(\Omega \times \mathbb{R}^N) \longrightarrow \mathcal{D}'(\Omega)$$

Proof: Uniqueness: Let  $F: S^\infty \rightarrow \mathcal{D}'$  be cts and  $F|_{S^{-\infty}} = 0$ .  
For any  $m \in \mathbb{R}$  choose  $m' > m$ .  $F|_{S^{m'}}$  is cts and moreover by Thm 7.5,  $S^{-\infty}$  is dense in  $S^m$  in the  $S^{m'}$ -topology and hence

$$F|_{S^m} \equiv 0 \quad \text{for all } m \in \mathbb{R}.$$

Existence: We need the following lemma: (proof later)

Lemma 7.9 For any given phase function  $\varphi$  there exist  $(a_j) \in S^0(\Omega \times \mathbb{R}^N)$ ,  $(b_j) \in S^{-1}(\Omega \times \mathbb{R}^N)$  and  $c \in S^{-1}(\Omega \times \mathbb{R}^N)$  such that with

$$L := \sum_{j=1}^N a_j(x, \xi) \frac{\partial}{\partial \xi_j} + \sum_{j=1}^n b_j(x, \xi) \frac{\partial}{\partial x_j} + c(x, \xi)$$

$\uparrow$   
 $N = \dim \mathbb{R}^N$

$\uparrow$   
 $n = \dim \mathbb{R}^n (\Omega \subset \mathbb{R}^n)$

we have  $L^t e^{i\varphi} = e^{i\varphi}$ , where  $L^t$  is the adjoint of  $L$  wrt bilinear pairing  $\int fg$ . Furthermore  $L(S^m) \subset S^{m-1}$ .

Then we can prove existence as follows:

Let  $a \in S^m(\Omega \times \mathbb{R}^N)$ ,  $u \in \mathcal{D}(\Omega)$ . Assume first  $m < -N$ .

Then by Lemma 7.9 we have for any  $k \in \mathbb{Z}_+$ :



$$\langle I(a, \varphi), u \rangle = \int_{\mathbb{R}^N} \int_{\Omega} \left\{ (L^t)^k e^{i\varphi(x, \xi)} \right\} a(x, \xi) u(x) dx d\xi$$

(Fubini)  $\nearrow$

$$= \int_{\mathbb{R}^N} \int_{\Omega} e^{i\varphi(x, \xi)} \underbrace{\left\{ L^k a(x, \xi) u(x) \right\}}_{\in S^{m-k}(\Omega \times \mathbb{R}^N)} dx d\xi$$

since for  $a \in S^m$ , also  $a \cdot u \in S^m$  for  $u \in \mathcal{D}(\Omega)$ .  
 The RHS (last integral) makes sense for any  $m-k < -N$ .  
 Hence we define the extension for any  $a \in S^m$ :

$$\langle I(a, \varphi), u \rangle := \int_{\mathbb{R}^N} \int_{\Omega} e^{i\varphi} \left\{ L^k (a \cdot u) \right\} dx d\xi$$

with  $k \in \mathbb{Z}_+$  such that  $m-k < -N$ .  
 Obviously, construction independent of  $k$ .

Continuity of the extension:

$$\textcircled{ii} \quad S^m(\Omega \times \mathbb{R}^N) \times \mathcal{D}(\Omega) \rightarrow S^{m-k}(\Omega \times \mathbb{R}^N)$$

$$(a, u) \mapsto L^k(a \cdot u)$$

is continuous. Hence  $a \mapsto I(a, \varphi)$  is a cts mapping  
 $S^m \rightarrow \mathcal{D}'$  for any  $m \in \mathbb{R}$ . ▣

Remark 7.10 Continuity of  $a \mapsto I(a, \varphi)$  means that

$a_j \xrightarrow{S^{m'}} a \Rightarrow I(a, \varphi) = \lim_{j \rightarrow \infty} I(a_j, \varphi)$  as distrib-ns.  
 Hence by Thm 7.5 with  $a_j(x, \xi) = \rho(\xi/j) \cdot a(x, \xi)$ ,  $a \in S^m$ :  
 $a_j \in S^{-\infty}$ ,  $a_j \xrightarrow{S^{m'}} a$  and hence for  $u \in \mathcal{D}(\Omega)$ :

$$\langle I(a, \varphi), u \rangle = \lim_{j \rightarrow \infty} \int_{\mathbb{R}^N} \int_{\Omega} e^{i\varphi(x, \xi)} \rho(\xi/j) a(x, \xi) u(x) dx d\xi$$

(this can be used to provide alternative proof of existence)



## Proof of Lemma 7.9

Construction of  $L$ :

$$\Phi(x, \xi) := \sum_{j=1}^n \left| \frac{\partial \varphi}{\partial x_j}(x, \xi) \right|^2 + |\xi|^2 \cdot \sum_{j=1}^N \left| \frac{\partial \varphi}{\partial \xi_j}(x, \xi) \right|^2$$

Since  $d\varphi$  is nowhere vanishing,  $\Phi(x, \xi) \neq 0$  for  $(x, \xi) \in \Omega \times \mathbb{R}^N$

- $\Phi(x, \lambda \xi) = \lambda^2 \Phi(x, \xi)$ , for  $\lambda > 0$
- Problem:  $\Phi$  is only defined on  $\Omega \times \mathbb{R}^N$ . Hence choose a cutoff-function  $\chi \in C_0^\infty(\mathbb{R}^N)$  st

$$\chi(\xi) = \begin{cases} 1, & |\xi| \leq 1 \\ 0, & |\xi| \geq 2 \end{cases}$$

Define: 
$$L^t := \frac{1-\chi}{i\Phi} \left( \sum_{j=1}^N |\xi|^2 \frac{\partial \varphi}{\partial \xi_j} \frac{\partial}{\partial \xi_j} + \sum_{j=1}^n \frac{\partial \varphi}{\partial x_j} \frac{\partial}{\partial x_j} \right) + \chi.$$

$$=: \sum_{j=1}^N \tilde{a}_j \frac{\partial}{\partial \xi_j} + \sum_{j=1}^n \tilde{b}_j \frac{\partial}{\partial x_j} + \tilde{c}$$

with  $(\tilde{a}_j) \in S^0$ ,  $(\tilde{b}_j)$  and  $\tilde{c} \in S^{-1}$ .

Clearly: 
$$L^t e^{i\varphi} = \left( \frac{1-\chi}{i\Phi} i\Phi + \chi \right) e^{i\varphi} = e^{i\varphi}$$

$L(S^m) \subset S^{m-1}$  follows from the fact that

$$\frac{\partial}{\partial x_j} : S^m \rightarrow S^m, \quad \frac{\partial}{\partial \xi_j} : S^m \rightarrow S^{m-1}, \quad S^m \times S^m \rightarrow S^{m+m}$$

$(a, b) \mapsto a \cdot b.$





Exercise: Let  $p \in S^m(\{0\} \times \mathbb{R}^n)$ , naturally  $p \in S'(\mathbb{R}^n)$ .

The oscillatory integral  $I(p, -\langle x, \xi \rangle)$

$$= \int e^{-i\langle x, \xi \rangle} p(\xi) d\xi$$

is then the Fourier transform of the tempered distribution  $p \in S'(\mathbb{R}^n)$ .

Singular support of  $I(a, \varphi) \in \mathcal{D}'(\Omega)$ :

Definition 7.11 For a phase function  $\varphi: \Omega \times \mathbb{R}^N \rightarrow \mathbb{C}$  put

$$d_{\xi} \varphi := \sum_{j=1}^N \frac{\partial \varphi}{\partial \xi_j} d\xi_j$$

$$C_{\varphi} := \{ (x, \xi) \mid d_{\xi} \varphi|_{(x, \xi)} = 0 \}$$

"conic nbds"

Remarks 7.12:

1)  $\varphi(x, y, \xi) = \langle x - y, \xi \rangle$ . Then  $d_{\xi} \varphi = \sum_{j=1}^N (x_j - y_j) d\xi_j$

$$C_{\varphi} = \{ (x, x, \xi) \mid x \in \Omega, \xi \in \mathbb{R}^N \}$$

$$= (\text{diagonal of } \Omega \times \Omega) \times \mathbb{R}^N$$

2)  $C_{\varphi}$  is conic in the following sense:

if  $(x, \xi) \in C_{\varphi}$ , and  $\lambda > 0$ , then  $(x, \lambda \xi) \in C_{\varphi}$ .

Proposition 7.13 ~~known proof~~

1) For  $a \in S^m(\Omega \times \mathbb{R}^N)$ ,  $\text{singsupp } I(a, \varphi) \subset \pi_{\Omega}(C_{\varphi})$

2) If  $a \in S^m$  vanishes in a conic nbd  $U$  of  $C_{\varphi}$ , then  $I(a, \varphi) \in C^{\infty}(\Omega)$ . }  $= \{ x \in \Omega \mid \exists \xi \in \mathbb{R}^N \text{ with } (x, \xi) \in C_{\varphi} \}$

$U$  conic nbd of  $C_{\varphi}$  if  $U$  itself is conic and  $\bar{C}_{\varphi} \subset U$ ,  $\bar{C}_{\varphi}$ -closure in  $(\Omega \times \mathbb{R}^N)$ .

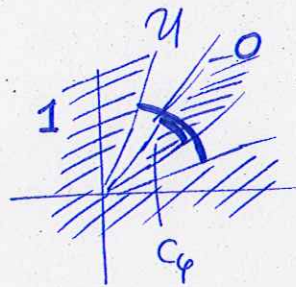


Proof: We prove (2) first

Choose  $\tilde{\rho} \in C^\infty(\Omega \times \mathbb{S}^{N-1})$  such that

$$\bullet \tilde{\rho}|_{(\mathcal{U} \cap (\Omega \times \mathbb{S}^{N-1}))^c} \equiv 1$$

$$\bullet \tilde{\rho}|_{(C_\varphi \cap (\Omega \times \mathbb{S}^{N-1}))} \equiv 0$$



→ extend homogeneously to  $\Omega \times \mathbb{R}^N$  by  $\rho(x, \lambda \xi) = \lambda \rho(x, \xi)$

→ smoothen out to  $\tilde{\rho} \in C^\infty(\Omega \times \mathbb{R}^N)$  at  $\xi = 0$ .

⇒ For  $a \in S^m(\Omega \times \mathbb{R}^N)$  with  $a|_{\mathcal{U}} = 0$

we have  $\rho \cdot a = a$ .

$$\text{Put: } L^t := \rho(x, \xi) \cdot \left\{ \frac{1 - \chi(\xi)}{i \Phi(x, \xi)} \sum_{j=1}^N |\xi|^2 \frac{\partial \varphi}{\partial \xi_j} \frac{\partial}{\partial \xi_j} + \chi(\xi) \right\}$$

$$\text{where } \Phi(x, \xi) = |\xi|^2 \cdot \sum_{j=1}^N \left| \frac{\partial \varphi}{\partial \xi_j}(x, \xi) \right|^2$$

$$\text{and } \chi(\xi) = \begin{cases} 1, & |\xi| \leq 1 \\ 0, & |\xi| \geq 2 \end{cases} \quad (\text{cf. Lemma 7.9})$$

Then

$$\bullet L^t e^{i\varphi} = \rho e^{i\varphi}$$

$$\bullet (L^t e^{i\varphi}) \cdot a = \rho \cdot e^{i\varphi} \cdot a = e^{i\varphi} \cdot a$$

$$\bullet L(S^m) \subset S^{m-1} \text{ as before.}$$

$$\text{Now } I(a, \varphi) = \int_{\mathbb{R}^N} e^{i\varphi(x, \xi)} \underbrace{(L^k a)(x, \xi)}_{\in S^{m-k}} d\xi$$

is smooth in  $x \in \Omega$ , since we may take  $k \in \mathbb{N}$  infinitely large.

(□)

We now prove (1): Claim is equivalent to saying that for  $u \in C_0^\infty(\Omega \setminus \pi_*(C_\varphi))$ ,  $I(a, \varphi)[u]$  acts on  $u$  as an integration against a smooth fn.



Remark on the construction of  $L^t$  in Prop 7.13

$$L^t = \rho(x, \bar{\xi}) \cdot \left\{ \frac{1 - \chi(\bar{\xi})}{i\Phi(x, \bar{\xi})} \sum_{j=1}^N \overline{\frac{\partial \varphi}{\partial \xi_j}} \cdot \frac{\partial}{\partial \bar{\xi}_j} + \chi(\bar{\xi}) \right\}$$

where

$$\Phi(x, \bar{\xi}) = \sum_{j=1}^N \left| \frac{\partial \varphi}{\partial \xi_j}(x, \bar{\xi}) \right|^2$$

$$\rho \cdot a = a \text{ and } \rho \equiv 0 \text{ over } C_\varphi \cap \{|\bar{\xi}| \geq 1\}$$

Question: Why  $\rho$ -factor here?  
(recall, there was no factor like this in Lemma 7.9)

Answer: For  $(x, \bar{\xi}) \in C_\varphi : (d_{\bar{\xi}} \varphi)(x, \bar{\xi}) = 0$

$$\Rightarrow \forall_j : \frac{\partial \varphi}{\partial \xi_j}(x, \bar{\xi}) = 0$$

$$\Rightarrow \Phi(x, \bar{\xi}) = 0. \text{ However, since}$$

$\Phi$  is quadratic in  $\frac{\partial \varphi}{\partial \xi_j}$ 's, the coeff. of  $L^t$

$$\frac{1 - \chi(\bar{\xi})}{i\Phi(x, \bar{\xi})} \cdot \overline{\frac{\partial \varphi}{\partial \xi_j}}(x, \bar{\xi})$$

may still be singular at  $(x, \bar{\xi}) \in C_\varphi \cap \{|\bar{\xi}| \geq 1\}$ .

Hence  $\rho(x, \bar{\xi})$ -factor is needed to make  $L^t$ -coeff. smooth:

$$\rho \cdot \frac{1 - \chi}{i\Phi} \cdot \overline{\frac{\partial \varphi}{\partial \xi_j}} \in C^\infty(\Omega \times \mathbb{R}^N)$$

and only then is  $L^t$  a true differential operator //